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7

YOUR REFERENCE NO.:**OUR REFERENCE (C/M) NO.:**

61765.00366

RE:

U.S. Application No. 09/934,367

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FEE TRANSMITTAL for FY 2002		<i>Complete if Known</i>	
		Application Number	08/934,367
<i>Patent fees are subject to annual revision.</i>		Filing Date	September 19, 1997
		First Named Inventor	Philip Needleman, et al.
		Examiner Name	M. Davis
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Group / Art Unit	1842
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	61765.00366
(\$)		2,520	

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																	
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Deposit Account Number: 18-0733 Deposit Account Name: Banner & Wilcutt, Ltd.				<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>105</td> <td>130</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td></td> <td></td> <td>127</td> <td>50</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td></td> <td></td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td></td> <td></td> <td>147</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td></td> <td></td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td></td> <td></td> <td>115</td> <td>110</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>116</td> <td>400</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>117</td> <td>820</td> <td>Extension for reply within third month</td> <td>920</td> </tr> <tr> <td></td> <td></td> <td>118</td> <td>1,440</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>128</td> <td>1,960</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td></td> <td></td> <td>119</td> <td>320</td> <td>Notice of Appeal</td> <td>320</td> </tr> <tr> <td></td> <td></td> <td>120</td> <td>320</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td></td> <td></td> <td>121</td> <td>280</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td></td> <td></td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td></td> <td></td> <td>140</td> <td>110</td> <td>Petition to revive - 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late filing fee or oath				127	50	Surcharge - late provisional filing fee or cover sheet				139	130	Non-English specification				147	2,520	For filing a request for reexamination				112	920*	Requesting publication of SIR prior to Examiner action				113	1,840*	Requesting publication of SIR after Examiner action				115	110	Extension for reply within first month				116	400	Extension for reply within second month				117	820	Extension for reply within third month	920			118	1,440	Extension for reply within fourth month				128	1,960	Extension for reply within fifth month				119	320	Notice of Appeal	320			120	320	Filing a brief in support of an appeal				121	280	Request for oral hearing				138	1,510	Petition to institute a public use proceeding				140	110	Petition to revive - unavoidable				141	1,280	Petition to revive - unintentional	1,280			142	1,280	Utility issue fee (or reissue)				143	460	Design issue fee				144	820	Plant issue fee				122	130	Petitions to the Commissioner				123	50	Processing fee under 37 CFR 1.17 (q)				126	180	Submission of Information Disclosure Sheet				581	40	Recording each patent assignment per property (times number of properties)				146	740	Filing a submission after final rejection (37 CFR § 1.129(a))				149	740	For each additional invention to be examined (37 CFR § 1.129(b))				179	740	Request for Continued Examination (RCE)				169	900	Request for expedited examination of a design application	
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SUBMITTED BY		<i>Complete (if applicable)</i>			
Name (Print/Type)	Joseph M. Skerston	Registration No. Attorney/Agent	29,864	Telephone	202-508-9100
Signature		Date	April 24, 2002		

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☒ **PATENT** ☐ **DESIGN** B&W Ref. 6715.00366 Date 4-24-2002

☐ **HAND CARRY** Group/Section _____ Bldg _____ Rm _____

Serial/Patent No. 08/934,367 Atty/Sec TMS/ANO

Inventor Philip Needleman, et. al Client _____

Title An Immunological Process and Constructs For Increasing The HDL Cholesterol Concentration By DNA Vaccination

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

☐ _____ total pp Spec., including: # of Claims _____
 (# of independent claims _____): ☐ Abstract

☐ Drawings: ☐ Formal ☐ Informal
 # of distinct sheets _____: Figs. _____

☐ Declaration/Power of Attorney: ☐ Executed ☐ Unexecuted

☐ Assignment w/PTO Cover Sheet

☐ IDS w/PTO 1449 ☐ References ☐ w/Fee

☐ Preliminary Amendment

☐ Priority Claim (Foreign or U.S. Provisional B&W # _____)

Country _____ Appl. # _____ Date _____
☐ w/Foreign Priority Document(s)

☐ Application: ☐ CIP ☐ Continuation ☐ Divisional
 Parent Ser. No. _____ B&W# _____

☐ U.S. Provisional _____ pp Spec/Claims; Cover Sheet

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☐ Amendment ☐ Response: OA and

☐ Petition for Extension of Time until _____

☐ CPA ☐ RCE ☐ w/Ext of Time: OA and

☐ Request for Approval of Drawing Changes

☒ Notice of Appeal & Fee

☐ Brief: ☐ Appeal & Fee ☐ Request for Oral Hearing

☐ Issue Fee ☐ Advance Patent Copies (# ordered _____)

Notice of Allowance and _____

☐ Amendment under 37 CFR 1.312

☐ Request for Certificate of Correction

☐ Transmittal ☒ Fee Transmittal w/Auth. to Charge Deposit Acct.

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☒ Petition For Revival of An Application

☐ For Patent Abandonment

☐ Unintentionally Under

☐ 37 CFR 1.37 (b)

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